



FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (Modified) PATENT AND TRADEMARK OFFICE  INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (Use several sheets if necessary)	ATTY. DOCKET NO. <b>1948/US/3</b>	SERIAL NO. <b>10/673,073</b>
	APPLICANT: <b>John R. KLUG</b>	CONFIRMATION NO.: <b>9290</b>
	FILING DATE <b>September 27, 2003</b>	GROUP ART UNIT: <b>2157 2155</b>

Sheet 1 of 2

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
/DL/	1.	6,192,114	02/20/2001	Council	379	114	
/DL/	2.	6,587,550	07/01/2003	Council et al.	379	100.08	

EXAMINER INITIAL		PUBLICATION NUMBER	PUBLICATION DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
/DL/	3.	2002/0065897	05/30/2002	Voticky et al. 09/683,315	709	207	12/13/2001
↓	4.	2002/0120702	08/29/2002	Schlavone et al. 09/792,936	709	207	02/26/2002
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↓	10.	Beauprez, Jennifer, "High-tech war pits sleuth vs. spammer," Denver Post, January 19, 2003.
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↓	18.	Kandra, Anne, "Consumer Watch: Are You Helping a Spammer?," PC World Magazine, September 2003.
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/David Lazaro/

10/28/2007

<b>FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE</b> <b>(Modified) PATENT AND TRADEMARK OFFICE</b>  <b>INFORMATION DISCLOSURE</b> <b>STATEMENT BY APPLICANT</b>  (Use several sheets if necessary)	ATTY. DOCKET NO.	SERIAL NO.
	1948/US/3	10/673,073
	APPLICANT:	CONFIRMATION NO.:
	John R. KLUG	9290
	FILING DATE	GROUP ART UNIT:
	September 27, 2003	2157

Sheet 2 of 2

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

/DL/	21.	Lee, Jennifer, "Spam: An Escalating Attack of the Clones," The New York Times, June 27, 2002.
	22.	Mangalindan, Mylene, "Start-Ups Work to Curb Spam -- and Turn a Profit," The Wall Street Journal, June 19, 2002.
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	24.	Mangalindan, Mylene, "For Bulk E-Mailer, Pestering Millions Offers Path to Profit," The Wall Street Journal, November 13, 2002.
	25.	Manktelow, Nicole, "Spicing up spam," smh.com.au, April 11, 2003.
	26.	Metz, Cade, "Slam the Spam," PC Magazine, February 25, 2003.
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	29.	Mossberg, Walter S., "Sprint's Speedy Treo Has Better E-Mailing and More Battery Life," Personal Technology, The Wall Street Journal, September 19, 2002.
	30.	Mossberg, Walter S., "Matador Combines 3 Tools To Keep Your Spam at Bay," Personal Technology, The Wall Street Journal, November 21, 2002.
	31.	Robbins, Alexandra, "You Spam, You Pay," PC Magazine, April 30, 2003.
	32.	Sandberg, Jared, "To List of Annoyances Add Office E-Mails: Enlargement Anyone?," Cubicle Culture, The Wall Street Journal, February 19, 2003.
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	35.	Swartz, Jon, "Spam tussle spreads to cell phones," USA Today, June 16, 2003.
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	38.	Waldman, Steven, "From Soccer Moms to Spam Dads," slate.msn.com, May 22, 2003.

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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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	FILING DATE <b>September 27, 2003</b>	GROUP ART UNIT: <del>2157</del> <b>2155</b>

Sheet 1 of 1

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
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↓	2.	5,999,967	12/07/1999	Sundsted	709	206	
↓	3.	6,266,692 B1	07/24/2001	Greenstein	709	206	
↓	4.	6,330,590 B1	12/11/2001	Cotten	709	206	
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EXAMINER INITIAL		PUBLICATION NUMBER	PUBLICATION DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
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↓	7.	US 2002/0016824 A1	02/07/2002	Leeds 09/086,345	709	207	05/29/1998
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/DL/	11.	Author Unknown, "Stopping Spam", <i>The Economist</i> , April 26, 2003.
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EXAMINER	/David Lazaro/	
	DATE CONSIDERED	10/28/2007

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	3.	5,771,289	06/23/1998	Kuzma	—	—	
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	7.	6,005,945	12/21/1999	Whitehouse	—	—	
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	9.	6,141,654	10/31/2000	Heiden et al.	—	—	
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	11.	6,351,764	02/26/2002	Voticky et al.	—	—	
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EXAMINER INITIAL		PUBLICATION NUMBER	PUB. DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
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↓	14.	2002/0194308	12/19/2002	Hall	—	—	
↓	15.	2003/0078893	04/27/2003	Shah et al.	—	—	

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION								
EXAMINER INITIAL		DOCUMENT NUMBER	PUB. DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
/DL/	16.	WO 00/79455 A1	12/28/2000	WIPO	—	—		

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
/DL/	17.	Hall, Robert J., "How to Avoid Unwanted E-mail", Communications of the ACM, Volume 41, No. 3, pp. 88-95, March, 1998.
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PTO/SB/08A (08-02) U.S. Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE Substitute for Form 1449 PREVIOUS EDITIONS ARE OBSOLETE  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)	APPLICATION NO.:	FILING DATE:
	10/673,073	September 27, 2003
	INVENTOR(S):	ART UNIT:
	John R. Klug	2157-2155
	EXAMINER NAME: <u>Lazaro</u> <del>Not Yet Assigned</del>	ATTY. DOCKET NO.: 1948/US/3

U.S. PATENT DOCUMENTS					
EXAMINER INITIALS*	Cite No. <sup>1</sup>	PATENT NUMBER	ISSUE DATE	Name of Patentee of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)	MM-DD-YYYY		
/DL/	1.	3,848,233	11/1974	Lotan et al.	
↓	2.	5,627,764	05/1997	Schutzman et al.	
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U.S. PUBLICATION DOCUMENTS					
EXAMINER INITIALS*	Cite No. <sup>1</sup>	PUBLICATION NUMBER	PUBLICATION DATE	Name of Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)	MM-DD-YYYY		
/DL/	5.	2002/0198850	12/2002	Grande et al.	

FOREIGN PATENT DOCUMENTS						
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		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)	MM-DD-YYYY			

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
EXAMINER INITIALS*	Cite No. <sup>1</sup>	Include name of Author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
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<sup>1</sup> Applicant's unique citation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.